# Products of CW complexes

#### Timo Rohner

timo.rohner@student.uj.edu.pl

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- Basics of CW Complexes
- Products of CW Complexes
- Dowker's example
- History of characterization results for products of CW Complexes
- A complete characterization without set theoretic assumptions

In algebraic topology, most topological spaces are not easy to work with. Even working with spheres is not as straightforward as one may think.

That's where CW complexes come in very handy:

Spaces constructed by gluing *n*-disks of various dimensions.

Basics of CW Complexes Definition

## Notation

We will denote the closed unit ball in  $\mathbb{R}^n$  by  $D^n$ , its interior by  $E^n$  and its boundary, i.e. the (n-1)-sphere, by  $S^{n-1}$ .

### Definition 1: CW Complex

A Hausdorff space X is a CW complex if there exist continuous functions  $\varphi_{\alpha}^{n}: D^{n} \to X$  for  $\alpha$  in an arbitrary index set and  $n \in \mathbb{N}$  a function of  $\alpha$ , such that the following conditions hold:

- 1 The restriction  $\varphi_{\alpha}^{n}|_{E^{n}}$  is a homeomorphism from  $E^{n}$  to  $\operatorname{img} \varphi_{\alpha}^{n}|_{E^{n}} =: e_{\alpha}^{n}$ .
- 2 X is the disjoint union of all  $e_{\alpha}^{n}$ , each of which we call an *n*-dimensional cell.
- 3 For each  $\varphi_{\alpha}^{n}$ ,  $\varphi_{\alpha}^{n}(S^{n-1})$  is contained in finitely many cells, all of which are of dimension less than n.
- 4 The topology on X is the weak topology, i.e. a set is closed if and only if its intersection with each closed cell φ<sup>n</sup><sub>α</sub>(D<sup>n</sup>) is closed.

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A Hausdorff space X satisfying the first three conditions is a CW-complex if and only if X is sequential.

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- 4 Repeat inductively up to some finite n to get an n-dimensional CW complex. If we don't stop at some finite n, we get an infinite-dimensional CW Complex.

Basics of CW Complexes Relevance

#### What's so special about CW Complexes?

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• Whitehead theorem

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- Homotopy Category of CW complexes

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- Eilenberg-MacLane spaces

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- Whitehead theorem
- Homotopy Category of CW complexes
- Eilenberg-MacLane spaces
- Brown's representability theorem

#### Suppose we have two CW-complexes X and Y. Is $X \times Y$ a CW-complex?

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Suppose we have two CW-complexes X and Y. Is  $X \times Y$  a CW-complex?

#### Yes

Since  $D^m \times D^n \cong D^{m+n}$ , there is a natural cell structure on  $X \times Y$ . Cells of  $X \times Y$  are given by the product of two cells, one coming from X and one from Y, endowed with the weak topology.

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#### But

Does the above topology coincide with the product topology?

#### Wikipedia: CW Complex

The product of two CW complexes can be made into a CW complex. Specifically, if X and Y are CW complexes, then one can form a CW complex  $X \times Y$  in which each cell is a product of a cell in X and a cell in Y, endowed with the weak topology. The underlying set of  $X \times Y$  is then the Cartesian product of X and Y, as expected. In addition, the weak topology on this set **often agrees** with the more familiar product topology on  $X \times Y$ .

From now on, when we talk about whether  $X \times Y$  is a CW complex or not, we mean whether  $X \times Y$  with its product topology is a CW complex.

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As we saw previously, any product of two CW complexes can be given a cell structure along with the weak topology such that we end up with a CW complex  $X \times Y$ .

Dowker's example

#### 1952, Dowker

First example of the product topology on  $X \times Y$  of two CW-complexes differing from the CW topology

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Dowker's example Constructior

# Construction of Dowker's example

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We take X and Y to be graphs, each with a single vertex and infinitely many edges eminating from said vertex. For X we want the number of edges to be uncountable, while for Y we want countably many edges.

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Let  $X = \bigvee_k I_k$ , where  $I_k$  is a copy of the interval [0, 1] and k ranges over all infinite sequences  $k = (k_1, k_2, ...)$  of positive integers. The wedge sum is formed at the endpoint 0 of  $I_k$ .

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We do the same for Y, except that instead we take the wedge sum over positive integers.

We consider the points  $p_{ij} = (1/k_j, 1/k_j) \in I_k \times I_j \subset X \times Y$ and the union *P* of all such points.

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Since we have exactly one point in each 2-cell of  $X \times Y$ , *P* is closed in the CW topology on  $X \times Y$ .

Our goal is to show that P is not closed in the product topology. To do so, we show that some (x, y) is in the closure of each 2-cell, with x being the common endpoint of the intervals  $I_k$  and y being the common endpoints of the intervals  $I_j$ .

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Take a basic open set containing (x, y) in the product topology. Such a set is of the form  $U \times V$ , where  $U = \bigvee_k [0, a_k)$  and  $V = \bigvee_j [0, b_j)$ .

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Showing that *P* has a nonempty intersection with  $U \times V$  is enough.

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Showing that P has a nonempty intersection with  $U \times V$  is enough.

Let us take a sequence  $t = (t_1, t_2, ...)$  with  $t_i > j$  and  $t_i > 1/b_i$  for all j and let  $l > 1/a_t$  be some integer.

# Construction of Dowker's example

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Then  $t_l > l > 1/a_t$  and hence  $1/t_l < a_t$ . Moreover,  $1/t_l < b_l$ . So  $(1/t_l, 1/t_l)$  is a point of *P* that lies in  $[0, a_t) \times [0, b_l)$  and therefore this point is in  $U \times V$ .

1 A subcomplex of a CW-complex X is a subspace that is the union of a subset of cells of X such that for every  $e_{\alpha}^{n}$  in the subcomplex, the associated closure  $\varphi_{\alpha}^{n}(D^{n})$  is also contained therein.

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- 3 For X a CW complex and e<sub>X,α</sub> a cell of X, we denote by X<sup>min</sup><sub>α</sub> the minimal (with respect to inclusion) subcomplex of X containing e<sub>α</sub>.

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- 3 For X a CW complex and  $e_{X,\alpha}$  a cell of X, we denote by  $X_{\alpha}^{\min}$  the minimal (with respect to inclusion) subcomplex of X containing  $e_{\alpha}$ .

 $X^n$  is an example of a subcomplex.

Given a cardinal  $\kappa$ , we say that a CW Complex X is locally less than  $\kappa$ , if for all  $x \in X$ , there exists a subcomplex A of X with fewer than  $\kappa$  many cells and x in its interior.

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- For locally less than  $\kappa = \aleph_0$ , we write locally finite.
- For locally less than  $\kappa = \aleph_1$ , we write locally countable.

History of characterization results Additional definitions

# Definition 4: Eventual domination

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Given two functions f and g from  $\mathbb{N}$  to  $\mathbb{N}$ , we say that f is eventually dominated by g if f(n) > g(n) for at most a finite number of n in  $\mathbb{N}$ .

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If this is the case, we write  $f \leq^* g$ .

History of characterization results Additional definitions

# Definition 5: Bounding number $\mathfrak{b}$

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The bounding number  $\mathfrak b$  is the least cardinality of a set of functions  $\mathbb N\to\mathbb N$  that is unbounded with respect to eventual domination, i.e.

$$\mathfrak{b}:=\min\{|\mathcal{F}| \ : \ \mathcal{F}\subseteq \mathbb{N}^{\mathbb{N}} \text{ and } \forall g\in \mathbb{N}^{\mathbb{N}} \ \exists f\in \mathcal{F} \text{ such that } \neg(f\leq^{*}g)\}$$

### Definition 6: Singular and Regular

A cardinal  $\kappa$  is called singular if it can be expressed as follows:

$$\kappa = \bigcup_{\alpha < \gamma} I_{\alpha},$$

with  $\gamma < \kappa$  and  $|I_{\alpha}| < \kappa$  for each  $\alpha < \gamma$ .

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If  $\kappa$  is not singular, we call it regular.

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The bounding number  $\mathfrak{b}$  is regular.

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### Proof

To show this, we take X to be the set of functions from  $\mathbb{N}$  to  $\mathbb{N}$  of cardinality  $\mathfrak{b}$  which is unbounded with respect to eventual domination. We enumerate  $X = \{f_{\beta} : \beta \in \mathfrak{b}\}.$ 

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Suppose for the sake of contradiction that  $\mathfrak{b}$  can be decomposed as  $\mathfrak{b} = \bigcup_{\alpha < \gamma} I_{\alpha}$ , with  $\gamma < \mathfrak{b}$  and  $|I_{\alpha}| < \mathfrak{b}$  for every  $\alpha < \gamma$ .

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Then for each  $\alpha$  there must be some function  $g_{\alpha} : \mathbb{N} \to \mathbb{N}$  that eventually dominates each member of  $\{f_{\beta} : \beta \in I_{\alpha}\}$ .

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Then for each  $\alpha$  there must be some function  $g_{\alpha} : \mathbb{N} \to \mathbb{N}$  that eventually dominates each member of  $\{f_{\beta} : \beta \in I_{\alpha}\}$ .

But then  $\{g_{\alpha} : \alpha < \gamma\}$  would be an unbounded set of functions of cardinality  $\gamma < \mathfrak{b}$ , therefore contradicting the minimality of  $\mathfrak{b}$ .

Basic properties of  ${\boldsymbol{\mathfrak b}}$ 

•  $\mathfrak{b}$  is uncountable.

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- $\mathfrak{b} \leq \mathbb{N}^{\mathbb{N}}$  and therefore  $\aleph_1 \leq \mathfrak{b} \leq 2^{\aleph_0}$ .

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- If the Continuum hypothesis holds, then we have  $\aleph_1 = \mathfrak{b} = 2^{\aleph_0}$ .
- There exist models of set theory in which  $\aleph_1 = \mathfrak{b} < 2^{\aleph_0}$ , models in which  $\aleph_1 < \mathfrak{b} = 2^{\aleph_0}$  and models in which  $\aleph_1 < \mathfrak{b} < 2^{\aleph_0}$ .

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Dowker's construction shows that some restrictions need to be put on X and/or Y, but it turns out that we can weaken the condition that either X or Y is locally finite.

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### If X or Y is locally finite, then $X \times Y$ is a CW complex.

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If X or Y is locally finite, then  $X \times Y$  is a CW complex.

Theorem 2: (1956) Milnor

If X and Y have countably many cells, then  $X \times Y$  is a CW complex.

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If X or Y is locally finite, then  $X \times Y$  is a CW complex.

Theorem 2: (1956) Milnor

If X and Y have countably many cells, then  $X \times Y$  is a CW complex.

Theorem 3: (1982) Tanaka

If neither X nor Y is locally countable, then  $X \times Y$  is not a CW complex.

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# Theorem 4: (1978) Ying-Ming

Assuming the Continuum Hypothesis,  $X \times Y$  is a CW complex if and only if one of them is locally finite, or both are locally countable.

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Assuming the Continuum Hypothesis,  $X \times Y$  is a CW complex if and only if one of them is locally finite, or both are locally countable.

# Theorem 5: (1982) Tanaka

Assuming  $b = \aleph_1$ ,  $X \times Y$  is a CW complex if and only if one of them is locally finite, or both are locally countable.

# Complete Characterization: (2017) Brooke-Taylor

Let X and Y be CW complexes. Then  $X \times Y$  is a CW complex if and only if one of the following holds:

- 1 Either X or Y is locally finite.
- 2 Either X or Y has countable many cells in each connected component, and the other has fewer than b many cells in each connected component.

#### Proposition 1

Let  $\kappa$  be an uncountable regular cardinal. Then a CW complex X is locally less than  $\kappa$  if and only if each connected component of X contains fewer than  $\kappa$  many cells.

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### Proof

If each connected component has fewer than  $\kappa$  many cells, then it's obvious that X is locally less than  $\kappa$ .

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If each connected component has fewer than  $\kappa$  many cells, then it's obvious that X is locally less than  $\kappa$ .

For the converse, more work is required. Let  $\kappa$  be an uncountable regular cardinal and suppose that X is a locally less than  $\kappa$  CW complex. Let  $x \in X$  be some point.

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For the converse, more work is required. Let  $\kappa$  be an uncountable regular cardinal and suppose that X is a locally less than  $\kappa$  CW complex. Let  $x \in X$  be some point.

We want to show that the connected component of X containing x contains fewer than  $\kappa$  many cells. This can be done by a recursive construction of the aforementioned component.

Let  $\kappa$  be an uncountable regular cardinal. Then a CW complex X is locally less than  $\kappa$  if and only if each connected component of X contains fewer than  $\kappa$  many cells.

### Proof

Let  $A_0 := \emptyset$  and  $A_1$  be a connected subcomplex of X containing x in its interior and consisting of fewer than  $\kappa$  many cells.

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### Proof

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Suppose that we defined a subcomplex  $A_i$  of X with fewer than  $\kappa$  many cells, containing x in its interior and with the property that every element of  $A_{i-1}$  is contained in the interior of  $A_i$ . Clearly, this holds for  $A_1$ .

Let  $\kappa$  be an uncountable regular cardinal. Then a CW complex X is locally less than  $\kappa$  if and only if each connected component of X contains fewer than  $\kappa$  many cells.

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Let us consider a cell e of  $A_i$ . Since X is locally less than  $\kappa$ , for each  $y \in \overline{e}$  there exists a connected subcomplex  $A_y$  of X with fewer than  $\kappa$  many cells alongside an open set  $U_y \subset X$  such that  $y \in U_y \subseteq A_y$ .

Let  $\kappa$  be an uncountable regular cardinal. Then a CW complex X is locally less than  $\kappa$  if and only if each connected component of X contains fewer than  $\kappa$  many cells.

### Proof

It is obvious that  $U_y \cap \overline{e}$  is open and for  $z \in U_y \cap \overline{e}$  we know that z is in the interior of  $A_y$ . Since  $\overline{e}$  is compact, a finite set  $S_e$  of points y suffices to cover  $\overline{e}$  by sets  $U_y \cap \overline{e}$ .

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We define  $A_{i+1}$  as follows:

$$A_{i+1} := \bigcup_{\substack{e \text{ a cell } y \in S_e \\ ext{of } A_i}} \bigcup_{y \in S_e} A_y.$$

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Let  $\kappa$  be an uncountable regular cardinal. Then a CW complex X is locally less than  $\kappa$  if and only if each connected component of X contains fewer than  $\kappa$  many cells.

### Proof

Since each  $A_y$  has fewer than  $\kappa$  many cells, and the union is over fewer than  $\kappa$  many indices, by virtue of regularity of  $\kappa$ ,  $A_{i+1}$  has fewer than  $\kappa$  many cells. Each  $A_y$  in the union is connected to  $A_i$ , so  $A_{i+1}$  is connected. By construction  $A_i$  is contained in the interior of  $A_{i+1}$ , which means we have completed the inductive step.

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## Proof

Since each  $A_y$  has fewer than  $\kappa$  many cells, and the union is over fewer than  $\kappa$  many indices, by virtue of regularity of  $\kappa$ ,  $A_{i+1}$  has fewer than  $\kappa$  many cells. Each  $A_y$  in the union is connected to  $A_i$ , so  $A_{i+1}$  is connected. By construction  $A_i$  is contained in the interior of  $A_{i+1}$ , which means we have completed the inductive step.

To finish the proof, we define  $A = \bigcup_{i \in \mathbb{N}} A_i$ . Since  $\kappa$  is regular uncountable and each  $A_i$  has fewer than  $\kappa$  many cells, A has fewer than  $\kappa$  many cells. An increasing union of connected spaces is connected, which means A is connected. Additionally, by construction A is open and as a subcomplex of X it is closed, so A is clearly a connected component of X. Complete characterization Main Result

## Whitehead: 1949

CW complexes are normal (i.e. T4 spaces).

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The construction is inductive over the skeleta  $X^n$ . Suppose we constructed  $N^n_{\varepsilon}(A)$  which is a neighborhood of  $A \cap X$  in  $X^n$ . We start the process with  $N^0_{\varepsilon}(A) = A \cap X^0$ .

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Then we define  $N_{\varepsilon}^{n+1}(A)$  by specifying its preimage under the characteristic map  $\varphi_{\alpha}: D^{n+1} \to X$  of each cell  $e_{\alpha}^{n+1}$ , namely  $\varphi_{\alpha}^{-1}(N_{\varepsilon}^{n+1}(A))$  is the union of two parts: an open  $\varepsilon_{\alpha}$ -neighbourhood of  $\varphi_{\alpha}^{-1}(A) \setminus \partial D^{n+1}$  in  $D^{n+1} \setminus \partial D^{n+1}$ , and a product  $(1 - \varepsilon_{\alpha}, 1] \times \varphi_{\alpha}^{-1}(N_{\varepsilon}^{n}(A))$  with respect to 'spherical' coordinates  $(r, \theta)$  in  $D^{n+1}$ , where  $r \in [0, 1]$  is the radial coordinate and  $\theta$  lies in  $\partial D^{n+1} = S^{n}$ .

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## Proof: CW complexes are normal

Points are closed in a CW complex X since they pull back to closed sets under all characteristic maps  $\varphi_{\alpha}$ . For disjoint closed sets A and B in X, we show that  $N_{\varepsilon}(B)$  are disjoint for small enough  $\varepsilon_{\alpha}$ 's. In the inductive process for building these open sets, assume  $N_{\varepsilon}^{n}(A)$  and  $N_{\varepsilon}^{n}(B)$  have been chosen to be disjoint.

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For a characteristic map  $\varphi_{\alpha}: D^{n+1} \to X$ , observe that  $\varphi_{\alpha}^{-1}(N_{\varepsilon}^{n}(A))$  and  $\varphi_{\alpha}^{-1}(B)$  are a positive distance apart, since otherwise by compactness we would have a sequence in  $\varphi_{\alpha}^{-1}(B)$  converging to a point of  $\varphi_{\alpha}^{-1}(B)$  in  $\partial D^{n+1}$  of distance zero from  $\varphi_{\alpha}^{-1}(N_{\varepsilon}^{n}(A))$ , but this is impossible since  $\varphi_{\alpha}^{-1}(N_{\varepsilon}^{n}(B))$  is a neighborhood of  $\varphi_{\alpha}^{-1}(B) \cap \partial D^{n+1}$  in  $\partial D^{n+1}$  disjoint from  $\varphi_{\alpha}^{-1}(N_{\varepsilon}^{n}(A))$ .

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Similarly,  $\varphi_{\alpha}^{-1}(N_{\varepsilon}^{n}(B))$  and  $\varphi_{\alpha}^{-1}(A)$  are a positive distance apart. Also,  $\varphi_{\alpha}^{-1}(A)$  and  $\varphi_{\alpha}^{-1}(B)$  are a positive distance apart. So a small enough  $\varepsilon_{\alpha}$  will make  $\varphi_{\alpha}^{-1}(N_{\varepsilon}^{n+1}(A))$  disjoint from  $\varphi_{\alpha}^{-1}(N_{\varepsilon}^{n+1}(B))$  in  $D^{n+1}$ .

## Brooke-Taylor: 2017

Let X and Y be CW complexes. Then  $X \times Y$  is a CW complex if and only if one of the following holds:

- 1 X or Y is locally finite.
- 2 One of X and Y is locally countable, and the other is locally less than  $\mathfrak{b}$ .

## Proof

One direction follows directly from a theorem of Tanaka:

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## Proof

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Tanaka

The following are equivalent

- 1  $\kappa \geq \mathfrak{b}$ ,
- 2 If  $X \times Y$  is a CW complex, then either
  - X or Y is locally finite, or
  - X or Y is locally countable and the other is locally less than  $\kappa$ .

Thanks to Proposition 1, we only need to show that if  $\kappa = \mathfrak{b}$ , we have that either of the following two conditions

• X or Y is locally finite, or

X or Y is locally countable and the other is locally less than  $\kappa$ . implies that  $X \times Y$  is a CW complex. Thanks to Proposition 1, we only need to show that if  $\kappa = \mathfrak{b}$ , we have that either of the following two conditions

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X or Y is locally countable and the other is locally less than  $\kappa$ . implies that  $X \times Y$  is a CW complex.

The first of the two conditions clearly implies that  $X \times Y$  is a CW complex. This is the original result shown by Whitehead that we saw earlier.

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We follow the standard notation from set theory, that when a natural number n is used in place of a set of natural numbers, it denotes the n-element set  $\{0, ..., n-1\}$ .

For a function  $s: I \to K$ , the function that extends s by taking value q on some  $\alpha \notin I$  is denoted by  $s \cup \{(\alpha, q)\}$ . We start by defining a descending sequence of neighbourhoods  $B_n(x)$  open in a cell e that form a neighbourhood base in e of a point x.

Suppose x is a point in a CW complex X, with x lying in an open cell e of dimension d with characteristic map  $\varphi$ , and suppose n is a natural number.

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Let z be  $\varphi^{-1}(x)$ , and let  $r \in \mathbb{R}$  be the minimum of 1/(n+1) and half the distance from z to the boundary of  $D^d$ . Then we define  $B_n(x)$  to be the image under  $\varphi$  of the open ball of radius r about z in  $D^d$ .

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The set  $B_n(x)$  need not be open as a subset of X. To build an open neighbourhood in X we must also consider higher-dimensional cells whose boundaries intersect  $B_n(x)$ . For these cells we use the following "collar neighbourhoods":

Let X be a CW complex, d a natural number, and  $U \subseteq X^d$  a subset of  $X^d$  which is open in  $X^d$ .

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Let *e* be a (d+1)-dimensional cell of *X* with characteristic map  $\varphi$ , and let *n* be a natural number. We define the open subset  $C_n^e(U)$  of  $\overline{e}$  by

$$C_n^{\mathsf{e}}(U) = \varphi\Big(\{t \cdot z : t \in (\frac{n}{n+1}, 1] \text{ and } z \in \varphi^{-1}(U) \subseteq S^d\}\Big)$$

where the  $\cdot$  denotes scalar multiplication in the vector space  $\mathbb{R}^{d+1}$ .

Let X be a CW complex, d a natural number, and  $U \subseteq X^d$  a subset of  $X^d$  which is open in  $X^d$ .

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where the  $\cdot$  denotes scalar multiplication in the vector space  $\mathbb{R}^{d+1}$ .

Note that if  $\varphi^{-1}(U)$  is empty then  $C_n^e(U)$  will also be empty, and that  $C_n^e$  distributes over unions: for any U and V,  $C_n^e(U \cup V) = C_n^e(U) \cup C_n^e(V)$ .

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Suppose X is a CW complex with its cells enumerated as  $e_i$  for i in some index set I, and for each i in I let d(i) be the dimension of  $e_i$ . Then for each  $n \in \mathbb{N}$  we let  $I^n = \{i \in I : d(i) \le n\}$ .

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Thus, for finite *n* the *n*-skeleton  $X^n$  is the union over *i* in  $I^n$  of the cells  $e_i$ . Using these notions, we may define an open neighbourhood of a point from a function to the naturals.

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Then for any function  $f: I \to \mathbb{N}$  we define the open neighbourhood  $U^X(x; f)$ , or simply U(x; f) when X is clear, of x in X recursively in dimension as follows.

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- For all *i* in  $I^{d(i_0)}$  other than  $i_0$ , we take  $U^X(x; f) \cap e_i = \emptyset$ .
- For  $i = i_0$ , we take  $U^X(x; f) \cap e_i = B_{f(i)}(x)$ .
- If  $U^X(x; f) \cap X^m$  has been defined for some  $m \ge d(i_0)$ , and  $i \in I$  is such that d(i) = m + 1, we set  $U^X(x; f) \cap \overline{e_i} = C_{f(i)}^{e_i}(U^X(x; f) \cap X^m)$ .

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Clearly every such set  $U^X(x; f)$  is open in X.

We thus use the notation U(x; f) omitting the superscript without fear of confusion, with the domain of f dictating the CW complex in which U(x; f) is taken.

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For functions  $f: I \to \mathbb{N}$  we shall write  $f \downarrow n$  as a shorthand for the restriction  $f|_{I^n}$ ; thus,  $U(x; f \downarrow n) = U(x; f) \cap X^n$ .

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Also, as per the set-theoretic convention discussed above,  $f \uparrow i$  denotes the restriction of f to natural numbers less than i,  $f \uparrow i = f|_{\{0,...,i-1\}}$ .

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Also, as per the set-theoretic convention discussed above,  $f \uparrow i$  denotes the restriction of f to natural numbers less than i,  $f \uparrow i = f|_{\{0,...,i-1\}}$ .

Since each U(x; f) for  $f: I \to \mathbb{N}$  is open, it will suffice for our proof of Theorem 1 to produce sets of this form. In some sense this is also necessary:

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For any CW complex X with cells  $e_i$ ,  $i \in I$ , and for any x in X, the sets U(x; f) as f varies over functions from I to  $\mathbb{N}$  form an open neighbourhood base at x.

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## Proof

Given an open neighbourhood V of x, we construct recursively on dimension a function  $f: I \to \mathbb{N}$  such that  $U(x; f) \cap X^n \subset V \cap X^n$  for every  $n \in \mathbb{N}$ .

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If x is in cell  $e_{i_0}$  of dimension  $d(i_0)$ , then as the base case we may choose  $f(i_0)$  large enough that  $B_{f(i_0)}(x)$  has closure contained in V, since  $V \cap e_{i_0}$  is open in  $e_{i_0}$ , and set f(i) = 0 for every other i in  $I_{d(i_0)}$ .

For any CW complex X with cells  $e_i$ ,  $i \in I$ , and for any x in X, the sets U(x; f) as f varies over functions from I to  $\mathbb{N}$  form an open neighbourhood base at x.

### Proof

For the inductive step, suppose we have defined f on  $I^n$  in such a way that  $U(x; f \downarrow n) \subset V \cap X^n$ , and suppose  $e_l$  is an (n + 1)-cell of X with characteristic map  $\varphi_l$ .

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Then  $\varphi_l^{-1}(U(x; f \downarrow n))$  is a compact subset of  $\varphi_l^{-1}(V) \cap S^n$ , and thus we may choose f(l) sufficiently large that  $C_{f(l)}^{e_l}(U(x; f \downarrow n))$  also has closure contained in  $\varphi_l^{-1}(V)$ .

Complete characterization Main Result

We shall repeatedly require the following lemma allowing us to extend open sets on finite subcomplexes.

Suppose W and Z are CW complexes,  $\tilde{W}$  is a finite subcomplex of W,  $\tilde{Z}$  is a finite subcomplex of Z, U is a subset of  $\tilde{W}$  that is open in  $\tilde{W}$ , V is a subset of  $\tilde{Z}$  that is open in  $\tilde{Z}$ , and H is a sequentially closed subset of  $W \times Z$  such that the closure of  $U \times V$  is disjoint from H.

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Then there is a  $p \in \mathbb{N}$  such that  $U \times (V \cup C_p^e(V))$  has closure disjoint from H.

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The point is that  $V \cup C_p^e(V)$  is open in  $\tilde{Z} \cup e$ , and we can build up open sets in the full CW complex Z in this way.

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Note also that apart from which CW complex e belongs to, Lemma 3 is symmetric in W and Z, so we will be able to use it to build up open sets of both X and Y in the proof of the main theorem.

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Thus  $H \cap (\tilde{W} \times \tilde{Z}e)$  is a closed subset of  $\tilde{W} \times \tilde{Z}e$  disjoint from  $\overline{U \times V}$ , and so we may take disjoint open sets  $O_{U \times V}$  and  $O_H$  in  $\tilde{W} \times \tilde{Z}e$  such that  $\overline{U \times V} \subseteq O_{U \times V}$  and  $H \cap (\tilde{W} \times \tilde{Z}e) \subseteq O_H$ .

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Now, for every point (u, v) of  $U \times V$ , there is an open base set  $R \times S$  of the product topology on  $\tilde{W} \times \tilde{Z}e$  that contains (u, v) and is contained in  $O_{U \times V}$ .

# Denote the subcomplex $\tilde{Z} \cup e$ of Z by $\tilde{Z}e$ .

The product  $\tilde{W} \times \tilde{Z}e$  is a compact CW complex, and in particular normal and sequential.

Thus  $H \cap (\tilde{W} \times \tilde{Z}e)$  is a closed subset of  $\tilde{W} \times \tilde{Z}e$  disjoint from  $\overline{U \times V}$ , and so we may take disjoint open sets  $O_{U \times V}$  and  $O_H$  in  $\tilde{W} \times \tilde{Z}e$  such that  $\overline{U \times V} \subseteq O_{U \times V}$  and  $H \cap (\tilde{W} \times \tilde{Z}e) \subseteq O_H$ .

Now, for every point (u, v) of  $U \times V$ , there is an open base set  $R \times S$  of the product topology on  $\tilde{W} \times \tilde{Z}e$  that contains (u, v) and is contained in  $O_{U \times V}$ .

By shrinking S if necessary, we may assume S is of the form  $T \cup C_n^{e}(T)$  for some open subset T of  $\tilde{Z}$  and some  $n \in \mathbb{N}$  (recall that this also makes sense if  $T \cap \overline{e}$  is empty, in which case n is arbitrary).

Now, by compactness of  $U \times V$ , finitely many such base sets  $R \times S$  suffice to cover  $U \times V$ , and we may choose  $p \in \mathbb{N}$  to be strictly greater than all of the corresponding values n.

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Then  $U \times (V \cup C_p^e(V))$  has closure contained in  $O_{U \times V}$ , and hence disjoint from H, as required.

We return to the main theorem we want to prove. By proposition 1, the formulation given here is equivalent to the main theorem given earlier.

#### Theorem

Let X and Y be CW complexes. Then  $X \times Y$  is a CW complex if and only if one of the following holds:

- X or Y is locally finite.
- One of X and Y is locally countable, and the other is locally less than  $\mathfrak{b}$ .

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To this end, let H be an arbitrary sequentially closed subset of  $X \times Y$ , and take  $(x_0, y_0) \in X \times Y \setminus H$ .

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To this end, let *H* be an arbitrary sequentially closed subset of  $X \times Y$ , and take  $(x_0, y_0) \in X \times Y \setminus H$ .

We want to construct an open neighbourhood of  $(x_0, y_0)$  disjoint from *H*.

Enumerate the cells of X as  $e_{X,i}$  for i in  $\mathbb{N}$ , in such a way that for each i, the boundary of  $e_{X,i}$  is contained in  $\bigcup_{j < i} e_{X,j}$ . This is possible by closure-finiteness.

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We define the finite subcomplex  $X_i$  of X to be  $X_i = \bigcup_{j \le i} e_{X,j}$ .

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Enumerate the cells of Y as  $e_{Y,\alpha}$  for  $\alpha$  in some index set J with cardinality  $\mu < \mathfrak{b}$  (we leave J abstract rather than declaring  $J = \mu$  so that the notation  $J^n$  of Definition 9 remains clear).

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Recall our notation  $Y_{\alpha}^{\min}$  from Definition 2 for the minimal subcomplex of Y containing  $e_{Y,\alpha}$ .

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We shall construct functions  $f : \mathbb{N} \to \mathbb{N}$  and  $g : J \to \mathbb{N}$  such that  $U(x_0; f) \times U(y_0; g)$  is disjoint from *H*.

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As ever, the construction is by recursion, but we shall recurse over dimension on the Y side and over i on the X side, whilst also keeping track of a lower bound function for the X side.

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As ever, the construction is by recursion, but we shall recurse over dimension on the Y side and over i on the X side, whilst also keeping track of a lower bound function for the X side.

Specifically, we shall construct for each *i* in  $\mathbb{N}$  functions  $f_i : \mathbb{N} \to \mathbb{N}$  and  $g_i : J^{n(\alpha_0)+i} \to \mathbb{N}$  such that

- $U(x_0; f_i) \times U(y_0; g_i)$  has closure disjoint from H,
- for all j > i,  $g_j|_{I_n}(\alpha_0) + i = g_i$ ,  $f_j \uparrow i = f_i \uparrow i$ , and for all  $n \ge i$ ,  $f_j(n) \ge f_i(n)$ .

With such functions in hand we may define f and g by  $f(i) = f_{i+1}(i)$  and  $g(\alpha) = g_{n(\alpha)-n(\alpha_0)}(\alpha)$ .

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For the base case of the construction, consider  $X \times Y_{\alpha_0}^{\min}$ . Since  $Y_{\alpha_0}^{\min}$  is a finite CW complex,  $X \times Y_{\alpha_0}^{\min}$  is a CW complex,  $(X \times Y_{\alpha_0}^{\min}) \cap H$  is closed, and we may choose a function  $f_0 : \mathbb{N} \to \mathbb{N}$  and a natural number  $g_0(\alpha_0)$  such that  $U(x_0; f_0) \times B_{g_0(\alpha_0)}(y_0)$  has closure disjoint from H.

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For  $\alpha \neq \alpha_0$  in  $J^{n(\alpha_0)}$ , set  $g_0(\alpha) = 0$ , so we have  $g_0$  defined on all of  $J^{n(\alpha_0)}$ ; since  $U(y_0; g_0) = B_{g_0(\alpha_0)}(y_0)$ , we have that  $U(x_0; f_0) \times U(y_0; g_0)$  has closure disjoint from H.

Timo Rohner (UJ)

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#### Lemma 4

Let  $\tilde{Y}$  be a finite subcomplex of Y containing  $y_0$ , let F be a function from  $\mathbb{N}$  to  $\mathbb{N}$  and s a function from the indices of  $\tilde{Y}$  to  $\mathbb{N}$  such that  $U(x_0; F) \times U(y_0; s) \subseteq X \times \tilde{Y}$  has closure disjoint from H.

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Let *i* be a natural number and let  $\hat{Y}$  be a subcomplex of Y that is a one cell extension of  $\tilde{Y}$ ,  $\hat{Y} = \tilde{Y} \cup e_{\alpha}$ .

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Let *i* be a natural number and let  $\hat{Y}$  be a subcomplex of Y that is a one cell extension of  $\tilde{Y}$ ,  $\hat{Y} = \tilde{Y} \cup e_{\alpha}$ .

Then there is a function  $f \colon \mathbb{N} \to \mathbb{N}$  such that

- 1  $f(n) \ge F(n)$  for all n in  $\mathbb{N}$ , and f(n) = F(n) for all n < i,
- 2 for every  $\tilde{f}: \mathbb{N} \to \mathbb{N}$  such that  $\tilde{f} \ge f$  and  $\tilde{f} \ge F$ , there is a  $q \in \mathbb{N}$  such that  $U(x_0; \tilde{f}) \times U(y_0; s \cup \{(\alpha, q)\})$  has closure disjoint from H.

The construction of f is by recursion on  $n \ge i$ , with repeated applications of Lemma 3.

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For every sequence  $r: n \to \mathbb{N}$  such that  $F(m) \leq r(m) \leq f(m)$  for all m < n, let q(r) be the least  $q \in \mathbb{N}$  such that  $U(x_0; r) \times U(y_0; s \cup \{(\alpha, q)\})$  has closure disjoint from H; such a q must exist by assumption on F and s and Lemma 3.

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Then let p(r) be the least  $p \in \mathbb{N}$  such that  $U(x_0; r \cup \{(n, p)\}) \times U(y_0; s \cup \{(\alpha, q(r))\})$  has closure disjoint from H, again applying Lemma 3.

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Finally, we define f(n) as  $f(n) = \max(\{p(r) : F \uparrow n \le r \le f \uparrow n\} \cup F(n))$ .

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We claim that this recursive construction yields a function  $f:\mathbb{N}\to\mathbb{N}$  as per the statement of the lemma.

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For (2), suppose  $\tilde{f}: \mathbb{N} \to \mathbb{N}$  is such that  $\tilde{f} \ge f$  and  $\tilde{f} \ge F$ . Let  $n_0 \in \mathbb{N}$  be such that for all  $n \ge n_0$ ,  $\tilde{f}(n) \ge f(n)$ .

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Indeed we shall show by induction that, letting  $\hat{f}$  be the function  $\hat{f}(n) = \begin{cases} r(n) & \text{if } n < n_0, \\ f(n) & \text{if } n \ge n_0 \end{cases}$ , we obtain that  $U(x_0; \hat{f}) \times U(y_0; s \cup (\alpha, q(r)))$ has closure disjoint from H.

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The result will then follow, as  $\tilde{f} \ge \hat{f}$  and hence  $U(x_0; \tilde{f}) \subseteq U(x_0; \hat{f})$ .

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For  $n \ge n_0$ , suppose we have shown that  $U(x_0; \hat{f} \uparrow n) \times U(y_0; s \cup \{(\alpha, q(r))\})$  has closure disjoint from *H*. Then by minimality  $q(\hat{f} \uparrow n) \le q(r)$ .

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We therefore have that for every *n*,  $U(x_0; \hat{f} \uparrow n) \times U(y_0; s \cup \{(\alpha, q(r))\})$  has closure disjoint from *H*.

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We therefore have that for every *n*,  $U(x_0; \hat{f} \uparrow n) \times U(y_0; s \cup \{(\alpha, q(r))\})$  has closure disjoint from *H*.

Since  $\bigcup_{n \in \mathbb{N}} U(x_0; \hat{f} \uparrow n) \times U(y_0; s \cup \{(\alpha, q(r))\})$  is closed in every cell of

 $X \times \hat{Y}$ , it is closed in  $X \times \hat{Y}$ , and so  $U(x_0; \hat{f}) \times U(y_0; s \cup \{(\alpha, q(r))\})$  has closure disjoint from H, as required.

Returning to the construction of the functions  $f_i$  and  $g_i$  for i in  $\mathbb{N}$ , suppose that for all  $j \leq k$  we have constructed the functions  $f_j : \mathbb{N} \to \mathbb{N}$ and  $g_j : J^{n(\alpha_0)+j} \to \mathbb{N}$  satisfying the previously listed requirements. Returning to the construction of the functions  $f_i$  and  $g_i$  for i in  $\mathbb{N}$ , suppose that for all  $j \leq k$  we have constructed the functions  $f_j : \mathbb{N} \to \mathbb{N}$ and  $g_j : J^{n(\alpha_0)+j} \to \mathbb{N}$  satisfying the previously listed requirements.

Call  $\alpha \in J$  relevant if  $n(\alpha) = n(\alpha_0 + k + 1)$ ; these are the indices we need to extend the definition of g to for the inductive step.

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Apply the Lemma 4 with  $f_k$  as F,  $Y_{\alpha_0}^{\min} \cup Y_{\alpha}^{\min}$  as  $\hat{Y}$ ,  $\tilde{Y}_{\alpha}$  as  $\tilde{Y}$ ,  $g_k \uparrow J^{\alpha}$  as s, and k+1 as i.

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Apply the Lemma 4 with  $T_k$  as r,  $T_{\alpha_0} \cup T_{\alpha}^{--}$  as r,  $T_{\alpha}$  as r,  $g_k \mid J^-$  as s, and k+1 as i.

The requirement of Lemma 4 that  $U(x_0; f_k) \times U(y_0; g_k \uparrow J_\alpha)$  have closure disjoint from *H* holds by the inductive hypothesis.

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Since there are fewer than *b* many members of *J*, there is a single function  $f_{k+1} : \mathbb{N} \to \mathbb{N}$  that eventually dominates  $f_{k+1,\alpha}$  for every relevant  $\alpha$ .

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Taking  $f_{k+1}$  as  $\tilde{f}$  in (2) of Lemma 4, we have that for each relevant  $\alpha$  there is  $q_{\alpha} \in \mathbb{N}$  such that the open subset  $U(x_0; f_{k+1}) \times U(y_0; (g_k \uparrow J_{\alpha}) \cup \{(\alpha, q_{\alpha})\})$  of  $X \times (Y_{\alpha_0}^{\min} \cup Y_{\alpha}^{\min})$  has closure disjoint from H

Products commute with closures in the product topology, therefore we have

$$= \overline{U(x_0; f_{k+1}) \times U(y_0; (g_k \uparrow J_\alpha) \cup \{(\alpha, q_\alpha)\})} = \overline{U(x_0; f_{k+1})} \times \overline{U(y_0; (g_k \uparrow J_\alpha) \cup \{(\alpha, q_\alpha)\})},$$

and since  $Y^{n(\alpha_0)+k+1}$  has the weak topology, we have

$$\bigcup_{\substack{\alpha \text{ relevant}}} U(y_0; (g_k \uparrow J_\alpha) \cup \{(\alpha, q_\alpha)\})$$
$$= \bigcup_{\substack{\alpha \text{ relevant}}} \overline{U(y_0; (g_k \uparrow J_\alpha) \cup \{(\alpha, q_\alpha)\})}.$$

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So

$$U(x_0; f_{k+1}) \times \bigcup_{\alpha \text{ relevant}} U(y_0; (g_k \uparrow J_\alpha) \cup \{(\alpha, q_\alpha)\})$$

is an open subset of  $X \times Y^{n(\alpha_0)+k+1}$  with closure disjoint from *H*.

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$$U(x_0; f_{k+1}) imes \bigcup_{lpha ext{ relevant }} U(y_0; (g_k \uparrow J_{lpha}) \cup \{(lpha, q_{lpha})\})$$

is an open subset of  $X \times Y^{n(\alpha_0)+k+1}$  with closure disjoint from *H*.

Since  $f_{k+1} \ge f_k$ , we have  $U(x_0; f_{k+1}) \subseteq U(x_0; f_k)$ , and we can conclude that  $U(x_0; f_{k+1}) \times U(y_0; g_k)$  has closure disjoint from H.

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Taking

$$g_{k+1} = g_k \cup \{(\alpha, q_\alpha) : \alpha \text{ is relevant}\}$$

completes the inductive step.

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We thus have a recursive construction of the functions  $f_i$  and  $g_i$  as required, which as discussed above allows us to form the functions f and g defining an open neighbourhood  $U(x_0; f) \times U(y_0; g)$  of  $(x_0, y_0)$  disjoint from H.

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Since *H* was an arbitrary sequentially closed subset of  $X \times Y$  and  $(x_0, y_0)$  was an arbitrary point in the complement of *H* in  $X \times Y$ , this shows that  $X \times Y$  is sequential, and thus bears the weak topology.

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Therefore,  $X \times Y$  is a CW complex.

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